|   | 4  |                                 |                                   | SCEDN \$                      |  |  |  |  |  |  |  |
|---|--|---------------------------------|-----------------------------------|-------------------------------|--|--|--|--|--|--|--|
| Response To Notice To File Missing Parts Of Application  Docket No. POU920000176US1 |  |                                 |                                   |                               |  |  |  |  |  |  |  |
| In RestApplication of J. P. Kubala et al JAN 3 1 2001                               |  |                                 |                                   |                               |  |  |  |  |  |  |  |
| <b>-</b>  | Wra IRIS<br>Serial No.   | Filing Date                     | RADEMARIE Examiner                | Group Art Unit                |  |  |  |  |  |  |  |
|   | 09/677,338   | October 02, 2000                |                                   | 2185                          |  |  |  |  |  |  |  |
| Inve  | Invention: METHOD AND APPARATUS FOR ENFORCING CAPACITY LIMITATIONS IN A LOGICALLY  |                                 |                                   |                               |  |  |  |  |  |  |  |
| PAR1  | TITIONED SYSTEM  | M                               |                                   |                               |  |  |  |  |  |  |  |
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|   | · · · · · · · · · · · · · · · · · · ·  | TO THE ASSISTANT CO             | DMMISSIONER FOR PATENT            | <u>S:</u>                     |  |  |  |  |  |  |  |
|   |  | Box                             | Missing Parts                     |                               |  |  |  |  |  |  |  |
| This i  | This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  11/27/2000  Date   |                                 |                                   |                               |  |  |  |  |  |  |  |
| Enclo   | Enclosed herewith for filing are the following:  |                                 |                                   |                               |  |  |  |  |  |  |  |
| ×   | A copy of the Noti   | ce to File Missing Parts of App | olication - Filing Date Granted ( | (PTO-1533). <b>(REQUIRED)</b> |  |  |  |  |  |  |  |
|   | The state of the s |                                 |                                   |                               |  |  |  |  |  |  |  |
|   | A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.   |                                 |                                   |                               |  |  |  |  |  |  |  |
| X   | An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.  |                                 |                                   |                               |  |  |  |  |  |  |  |
| ٥   | A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.  |                                 |                                   |                               |  |  |  |  |  |  |  |
|   | Other (list):  |                                 |                                   |                               |  |  |  |  |  |  |  |
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| Response To N<br>Filing Da  | Docket No.<br>POU920000176US1   |                   |                  |                |                        |  |  |  |
|---|---|-------------------|------------------|----------------|------------------------|--|--|--|
| In Re Application Of: J. P. Kubala et al  |   |                   |                  |                |                        |  |  |  |
| Serial No.<br>09/677,338  | Filing Date Examiner October 02, 2006 Te TRADERE  |                   | Examiner         |                | Group Art Unit<br>2185 |  |  |  |
| Invention: METHOD AND APPARATUS FOR ENFORCING CAPACITY LIMITATIONS IN A LOGICALLY PARTITIONED SYSTEM  |   |                   |                  |                |                        |  |  |  |
|   | TO THE ASSISTA  | NT COMMISSIONER   | FOR PATENTS      | <u>3:</u>      |                        |  |  |  |
| ☑ Completion of our   | olication fees as calculat  | Box Missing Parts |                  |                |                        |  |  |  |
|   |   | ed below.         |                  |                |                        |  |  |  |
| ☐ Utility applica   | ation filing fee  |                   |                  | -              |                        |  |  |  |
| Design application  | cation filing fee   |                   |                  | -              |                        |  |  |  |
| ☐ Total number  | ☐ Total number of independent claims =  |                   |                  |                |                        |  |  |  |
| Total number  | ☐ Total number of claims =  |                   |                  |                |                        |  |  |  |
| ☐ Multiple dependent claims   |   |                   |                  |                |                        |  |  |  |
| Surcharge fo  | Surcharge for late payment of filing fee and/or late filing of original declaration or oath |                   |                  |                |                        |  |  |  |
| ☐ Petition and  | Petition and fee for filing by other than all the inventors or a person not the inventor    |                   |                  |                |                        |  |  |  |
| ☐ Fee for proce   | ☐ Fee for processing an application filed with a non-English language specification         |                   |                  |                |                        |  |  |  |
| ☐ Fee for processing and retention of application   |   |                   |                  |                |                        |  |  |  |
|   |   | Total c           | ompletion of app | plication fees | \$130.00               |  |  |  |
| This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor. |   |                   |                  |                |                        |  |  |  |
| ☐ One month   | Two months  | ☐ Three months    | ☐ Four mo        | onths   Five   | e months               |  |  |  |
| from:   | Date  | until:            | Da               | ıte            |                        |  |  |  |
|   |   |                   | Total time e     | extension fees |                        |  |  |  |
|   |   |                   | ٦                | Total fees due | \$130.00               |  |  |  |

| Response To N<br>Filing Date                           | Docket No.<br>POU920000176US1                                   |  |  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|--|
| In Re Application Of: J. P. Kubala et al  JAN 3-1 2001 |   |  |  |  |  |  |  |  |
| Serial No.   | Filing Date   | TRADEMAN Examiner                      | Group Art Unit                           |  |  |  |  |  |
| 09/677,338   | October 02, 2000  | TINA                                   | 2185                                     |  |  |  |  |  |
|  |   |  |  |  |  |  |  |  |
| Invention: METHOD A                                    | AND APPARATUS FOR ENFOR   | CING CAPACITY LIMITA                   | TIONS IN A LOGICALLY                     |  |  |  |  |  |
| PARTITIONED SYSTEM                                     | M   |  |  |  |  |  |  |  |
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| · · · · · · · · · · · · · · · · · · ·                  |   |  |  |  |  |  |  |  |
|  | TO THE ASSISTANT CO   | MMISSIONER FOR PATEN                   | <u>TS:</u>                               |  |  |  |  |  |
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| The fee of \$130.00                                    | is to be paid as follows:                                       |  |  |  |  |  |  |  |
| A check in the am                                      | ount of the fee is enclosed.                                    |  |  |  |  |  |  |  |
| The Commissione  | er is hereby authorized to charge                               | any fees which may be req              | uired, or credit any                     |  |  |  |  |  |
|  | Deposit Account No. 09-0463                                     |  |  |  |  |  |  |  |
| •  | of this sheet is enclosed.<br>tension of time is required, plea | se consider this a netition th         | erefor and charge                        |  |  |  |  |  |
|  | s which may be required to Dep                                  |  | ordior and onlygo                        |  |  |  |  |  |
| -  | of this sheet is enclosed.                                      |  |  |  |  |  |  |  |
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| MANUT I ( ) Y  |   |  |  |  |  |  |  |  |
| Dated: January 29, 2001                                |   |  |  |  |  |  |  |  |
| William A. Kinnaman, Jr Attorney                       |   |  |  |  |  |  |  |  |
| Registration No 27,650                                 |   |  |  |  |  |  |  |  |
| Intellectual Property Lav IBM Corporation              | v Department  |  | s document and fee is being deposited on |  |  |  |  |  |
| 2455 South Road (M/S P                                 | 386)  |  | er 37 C.F.R. 1.8 and is addressed to the |  |  |  |  |  |
| Poughkeepsie, NY 12601                                 | 75  | Assistant Comr<br>20231.               | missioner for Patents, Washington, D.C.  |  |  |  |  |  |
| Telephone: (845) 433-11<br>Fax: (845) 432-9786         | 15  | 20251.                                 | 1 11 5                                   |  |  |  |  |  |
| - and (5 10) 102 > 100                                 |   | \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\ | ~ Z ///                                  |  |  |  |  |  |
|  |   | Signatu                                | re of Person Mailing Correspondence      |  |  |  |  |  |
|  |   |  | Karen L. Merrigan                        |  |  |  |  |  |
| cc:  |   | Typed or Print                         | ed Name of Person Mailing Correspondence |  |  |  |  |  |



## United States Patent and Trademark Oct

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UNITED STATES PATENT AND TRADEMARK OFFICE
Washington, D.C. 20231
www.uspfo.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/677,338

10/02/2000

Jeffrey P. Kubala

POU920000176US1

William A Kinnaman Jr IBM Corporation - MS P386 2455 South Rd Poughkeepsie, NY 12601



Date Mailed: 11/27/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e)
  of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/02/2001 AGOITOH 00000013 090463 09677338

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